

#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter R. Jepson, et al. Confirmation No.: 6625

Serial No.: 10/079,286

Group Art Unit: 1753

Filed: February 20, 2002

Title: REFRACTORY METAL PLATES WITH UNIFORM TEXTURE

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to : BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on:

April 11, 2002

Date of mailing

Signature

Peter J. Borghetti

Printed or typed name of sender

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sirs:

Transmitted herewith for the above-identified application are the following:

1. Missing Parts Transmittal Form;
2. Fee transmittal;
3. Copy of Notice to File Missing Parts of Nonprovisional Application;
4. Executed Declaration (37 CFR 1.63) For Utility or Design Application Using an Application Data Sheet (37 CFR 1.76);
5. Three (3) Executed Power of Attorney forms;
6. Application Data Sheet (ADS);
7. Check for \$130.00 (surcharge based on large entity status);

COPY OF PAPER  
ORIGINALLY FILED

and

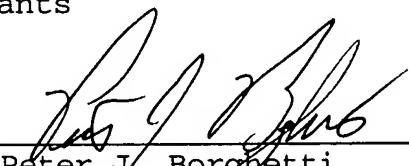
8. Return receipt post card.

The Commissioner for Patents is hereby authorized to charge any deficiencies to or credit any overpayment to Deposit Account No. 03-2410, Order No. 06160-1P67.

Respectfully submitted,

Peter R. Jepson, et al.,  
Applicants

By:

  
\_\_\_\_\_  
Peter J. Borghetti  
Reg. No. 42,345  
Attorney for Applicants

Perkins, Smith & Cohen  
One Beacon Street  
Boston, MA 02108-3106  
(617) 854-4000

Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/079,286
Filing Date	February 20, 2002
First Named Inventor	Peter R. Jepson
Group Art Unit	1753
Confirmation No.	6625
Attorney Docket Number	06160-1P67

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☒ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/  
Incomplete Application☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☒ three (3) Power of Attorney or  
Authorization of Agent forms☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):

**Copy of Notice to File Missing  
Parts of Nonpatent Application  
Filed Under 37 CFR 1.35(b);  
Executed Declaration;  
Application Data Sheet; and  
return receipt postcard.**

Remarks

COPY OF PAPERS  
ORIGINALLY FILED**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Peter J. Borghetti, Reg. No. 42,345
-------------------------------	-------------------------------------

Signature

Date

April 11, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on this date: April 11, 2002

Typed or printed name	Peter J. Borghetti
-----------------------	--------------------

Signature

Date

April 11, 2002

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL  
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

## Complete if Known

Application Number	10/079,286
Filing Date	February 20, 2002
First Named Inventor	Peter R. Jepson
Confirmation No.	6625
Group / Art Unit	1753
Attorney Docket No.	06160-1P67

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge deficiencies only and credit any over payments to:Deposit  
Account  
Number

03-2410 (Order No. 06160-1P67)

Deposit  
Account  
Name

Perkins, Smith &amp; Cohen, LLP

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27
2. ☒ Payment Enclosed:
☒ Check    ☐ Credit card    ☐ Money Order    ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
-20	-3		0	0	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>rex parte</i> examination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

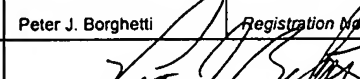
SUBTOTAL (3)

(\$130.00)

COPY OF PAPERS  
ORIGINAL FILED

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Peter J. Borghetti	Registration No. Attorney/Agent	42,345	Telephone	(617) 854-4000
Signature				Date	April 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/079,286

02/20/2002

Peter R. Jepson

06160-1P67

CONFIRMATION NO. 6625

## FORMALITIES LETTER



\*OC000000007714299\*

Date Mailed: 03/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

COPY OF PATENT  
ORIGINALLY FILED

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/23/2002 SDENB081 00000076 10079286

01 FC:105

130.00 0P